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WOLF, GREENFIELD & SACKS, P.C.
EDWARD R. GATES
600 ATLANTIC AVE.
FEDERAL RESERVE PLAZA
BOSTON, MA 02210

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/25/2000

REEL/FRAME: 011142/0726
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WALLNER, BARBARA P.

DOC DATE: 09/18/2000

ASSIGNOR:

MILLER, GLENN

DOC DATE: 09/15/2000

ASSIGNEE:

POINT THERAPEUTICS, INC.
75 KNEELAND STREET
BOSTON, MASSACHUSETTS 02111

SERIAL NUMBER: 09578363
PATENT NUMBER:

FILING DATE: 05/25/2000
ISSUE DATE:

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-13-2000

ET

SEP 25 2000

DEPARTMENT OF COMMERCE
Patent and Trademark Office

FORM PTO-1595 U.S.

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

JUN 13 2003

101487398

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Barbara P. Wallner and Glenn Miller Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: September 18, 2000 and September 15, 2000, respectively		2. Name and address of receiving party(ies) Point Therapeutics, Inc. Street Address: 75 Kneeland Street Boston, MA 02111 Additional name(s) & addresses(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is <table border="0"> <tr> <td>A. Patent Application No.(s)</td> <td>B. Patent No.(s)</td> </tr> <tr> <td>09/578,363</td> <td></td> </tr> </table> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				A. Patent Application No.(s)	B. Patent No.(s)	09/578,363	
A. Patent Application No.(s)	B. Patent No.(s)						
09/578,363							
5. Name and address of party to whom correspondence Concerning document should be mailed: Name: Edward R. Gates Address: WOLF, GREENFIELD & SACKS, P.C. Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210		6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41) \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account _____ The Commissioner is authorized to charge: 8. Deposit Account No: 23/2825					
DO NOT USE THIS SPACE							
10/12/2000 DBYRNE 00000051 09578363 09. FC5541 40.00 09							
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.							
Edward R. Gates (Reg. No. 31,616)		<i>Edward R. Gates</i>					
Name of Person Signing		Signature	Date Sept 21, 2000				
Total number of pages including cover sheet, attachments, and document: 5							

Mail documents to be recorded with required cover sheet information to:
 Box Assignment, Commissioner of Patents and Trademarks,
 Washington, D.C. 20231

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned **Barbara P. Wallner**, 40-B Nichols Road, Cohasset, MA 02025 and **Glenn Miller**, 112 Montclair Road, Haverhill, MA 01830, hereby

Sell, assign and transfer to **Point Therapeutics, Inc.** a Massachusetts corporation, having a place of business at 75 Kneeland Street, Boston, MA 02111, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on **May 25, 2000**, under **Serial No. 09/578,363**, and entitled **ANTI-TUMOR AGENTS**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

9-18-00

Date

Barbara P. Wallner

BARBARA P. WALLNER

STATE OF Mass :
COUNTY OF Suffolk :

Subscribed and sworn to before me this 18 day of September.

SEAL

Notary Public

H. Bernice McNeel

My Commission Expires

2/9/07

9/15/00

Date

Glenn Miller
GLENN MILLER

STATE OF Massachusetts :
COUNTY OF Buffolk :

Subscribed and sworn to before me this 15th day of September, 2000

SEAL

Notary Public

Margaret Newell

My Commission Expires

1/11/2002



AUGUST 14, 2001

COPY

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



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WOLF, GREENFIELD & SACKS PC
ELIZABETH R. PLUMER
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 06/04/2001

REEL/FRAME: 011852/0648

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WALLNER, BARBARA P.

DOC DATE: 05/24/2001

ASSIGNEE:

POINT THERAPEUTICS, INC.
75 KNEELAND STREET
BOSTON, MASSACHUSETTS 02111

SERIAL NUMBER: 09744658

FILING DATE: 05/30/2001

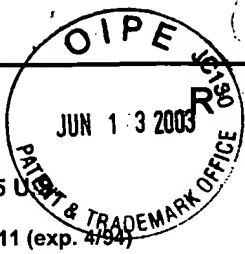
PATENT NUMBER:

ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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06-06-2001

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FORM PTO-1595 U
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)



101740585

DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner for Patents : Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Barbara P. WALLNER *6-4-01*

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **May 24, 2001**

2. Name and address of receiving party(ies)
Name: **Point Therapeutics, Inc.** *USN - 4*
Internal Address:

Street Address: **75 Kneeland Street
Boston, MA 02111**

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/744,658

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: **Elizabeth R. Plumer**
Address: **WOLF, GREENFIELD & SACKS, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210**

6. Total number of applications and patents involved: **[On]**

7. Total fee (37 CFR 3.41) **\$ 40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth R. Plumer, Reg. No. 36,637

Elizabeth R Plumer

May 29, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **[2]**

Mail documents to be recorded with required cover sheet information to:

Box Assignment
Honorable Commissioner of Patents,
Washington, D.C. 20231

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned Barbara Wallner, 40B Nichols Road, Cohasset, MA, 02025, hereby

Sell, assign and transfer to Point Therapeutics, Inc., a Massachusetts corporation having a place of business at 75 Kneeland Street, Boston, MA 02111, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on January 26, 2001, under Serial No. 09/744,658 and entitled REGULATION OF SUBSTRATE ACTIVITY, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

5-24-01
Date

Barbara Wallner
Barbara Wallner

STATE OF Massachusetts:

COUNTY OF Suffolk:

Subscribed and sworn to before me this 24 day of May 2001

SEAL

Judith M. Rondeau
Notary Public

My Commission Expires

JUDITH M. RONDEAU, NOTARY PUBLIC
MY COMMISSION EXPIRES JUNE 16, 2006



ATTORNEY DOCKET NO.: I00248.70012.US
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Barbara P. Wallner and Glenn T. Miller
Serial No.: 09/578,363
Conf. No.: 6092
Filed: May 25, 2000
For: ANTI-TUMOR AGENTS
Examiner: J. Russel
Art Unit: 1653

Commissioner for Patents
Washington, D.C. 20231

STATEMENT IN SUPPORT OF SHOWING OF COMMON OWNERSHIP
PURSUANT TO C.F.R. § 1.78 (c)

I, Michael P. Duffy, make the following statement in support of the above-identified application:

1. I am the Senior Vice President of Point Therapeutics, Inc., and I am thereby entitled to make this statement on behalf of Point Therapeutics, Inc.
2. Point Therapeutics, Inc. is the assignee of the U.S. Patent Applications Serial No. 09/578,363 and Serial No. 09/744,658, as evidenced by the enclosed Assignments recorded on Reel and Frame 011142/0726 and 011852/0648, respectively.
3. The claimed inventions of both afore-mentioned applications were either commonly owned or subject to an obligation of assignment to Point Therapeutics, Inc. at the time the later invention was made.

I, the undersigned, declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this document and any patent which may issue from the above-identified patent application.

January 28, 2003
Date

Michael P. Duffy
Senior Vice President